

Express Mailing Label No. EL777328848US

PATENT APPLICATION  
Docket No. 3000.2.40  
IBM No. STL9-2000-0074US1

**UNITED STATES PATENT APPLICATION**

OF

JAMES ROBERT DAVIS

GERALD DEAN HUGHES

STEVE T. KUO

THOMAS CLARKE MORRISON

and

JACK CHIU-CHIU YUAN

FOR

**SYSTEM AND METHOD FOR ENSURING CLIENT ACCESS TO  
MESSAGES FROM A SERVER**

1                   **SYSTEM AND METHOD FOR ENSURING CLIENT ACCESS TO**  
2                   **MESSAGES FROM A SERVER**

3

4                   **BACKGROUND OF THE INVENTION**

5                   **Field of the Invention**

6                   The present invention relates to client-server application network  
7                   communications. More specifically, the invention relates to managing client-server  
8                   communications such that a client has access to all messages from a server.

9

10                  **Relevant Technology**

11                  Programmed computers are increasing modern day society's ability to do more  
12                  work in less time and at less expense. The programs which execute on these computers  
13                  cover a vast range of subjects and industries. These programs vary in their amount of  
14                  utilization of computer hardware resources. In the end, it is the output of the computer  
15                  such as, a report, a display, a printed document, and the like which provides the utility  
16                  found in programmed computers.

17                  Programs for computers may be a single program executing on one computer or a  
18                  plurality of program modules distributed across a plurality of individual computers.  
19                  Division of a single program into modules may be done for several reasons such as to  
20                  protect ownership of a particular module, to save computer resources, or to broadly  
21                  increase user access to specific program modules.

1           Division of a single program into program modules requires there be some form  
2       of communication rules or relationships between the program modules and hardware to  
3       transmit the communications. The relationships available are varied and often model the  
4       relationships amongst human beings. Examples of models include peer to peer,  
5       master/slave, and client/server. In each model, one program module takes on one role  
6       and a second program takes on the other role.

7           The hardware needed to transmit inter-program module communications is  
8       standard computer networking hardware which utilizes standard network protocols, e.g.,  
9       ISO/OSI, IPX, SNA, OTMA, APPC, TCP/IP, to pass messages from one program module  
10      to the other. In addition, each computer on the network needs a network card capable of  
11      communicating across the network medium. The network medium may be Ethernet,  
12      token-ring, twisted pair, infrared, radio waves, other wireless mediums and the like. The  
13      network may be a local area network LAN, wide area network WAN, or global network  
14      such as the Internet.

15      Inter-program module messages contain two kinds of data, control data and  
16      message data. Depending on the network protocol, there may be one or more layers of  
17      control data. The control data instructs the network hardware how and where to transmit  
18      the message. The message data is the information the sending computer intended to  
19      transmit. Some protocols, such as Open Transaction Message Access (“OTMA”),  
20      provide a portion of the control data which either program module may use to identify  
21      messages.

1           Of the models for inter-program module relationships, the client/server model is  
2           most prevalent. Client-Server programs are common in most every aspect of  
3           government, business, and commerce today. The development of the Internet has  
4           magnified the importance, growth and the reliance on the client/server model.

5           A client/server program consists of a client program module, referred to  
6           hereinafter as a client, assuming the role of a client. The client communicates with a  
7           server program module, referred to hereinafter as a server, assuming the role of a server.  
8           A client, as in real life, requests certain services of the server. A server, again as in real  
9           life such as a butler, performs the services requested by the client. In a client/server  
10          model, generally the server executes on one computer while the client executes on a  
11          remote computer which is connected to the server by a network. While this is not  
12          necessary, executing the client and the server on the same computer limits the advantages  
13          gained by doing otherwise. In order for most advantages of a client/server model to be  
14          gained, the time required for a server to meet the client request must be short. A client  
15          request which monopolizes the server and its resources moves from a client/server model  
16          to a master/slave model.

17          The client/server model has distinct advantages. First, a single server may  
18          service multiple clients almost simultaneously. Second, the server may act as a central  
19          repository for data files, database records, web pages, email data, and the like.  
20          Additionally, the server may execute on a computer which has many more computing  
21          resources such as disk space, memory, and computing speed than the computer on which  
22          the client executes. Centralizing the computing resources allows the server to execute

1 complex or time consuming calculations on a client's behalf. A single client may not  
2 need the extra computing resources at any given time but may need the resources at a  
3 later point during a given time interval. Thus, centralizing the resources and allowing  
4 multiple clients access to them by way of a server maximizes the use of these resources.

5 An example of a server which provides primarily database records to clients is a  
6 database management system. An example of a database management system is the  
7 Information Management System (IMS<sub>TM</sub>) available from IBM Corp., Armonk, New  
8 York. The IMS system is used to serve records from a vast number of databases in  
9 operation today. The IMS system[s] allows access by clients to one or more databases in  
10 order for users to retrieve and modify the data maintained on the database. The majority  
11 of client access involves transactional operations.

12 A client/server model offers advantages in the design and programming of clients  
13 as well. In the design of the client and the server the software engineer may decide how  
14 much functionality to place in the client versus the server. Generally, functionality which  
15 maximizes computing resources is placed in the server. This functionality is often  
16 database records and their management or complex computing algorithms. This leaves  
17 primarily output and server request functionality for the client. Output functionality  
18 includes displays, reports or other human intelligible computer outputs. Clients with little  
19 more than output functionality are called thin clients. Thin clients require less computing  
20 resources on the remote computer. This allows the thin client to execute on a smaller,  
21 more compact computing device such as a Palm Pilot® or internet enabled mobile phone.  
22 A thin client also allows the client to be generalized such that the client may execute as a

1 plug-in within a client driver program, such as Netscape® or Microsoft Internet  
2 Explorer®. Using thin clients also allows the program designer to protect proprietary  
3 algorithms on the server while still providing the service to the clients.

4 As mentioned above, the client/server model is most effective with transactional  
5 operations of short duration. In transactional operations, the client requests a service such  
6 as the data in a database representing a person's bank account balance. The transaction is  
7 complete when the server responds to the client with a response message containing the  
8 balance amount.

9 One premise generally relied on when using network protocols is that for each  
10 client request made of the server there will be a corresponding response sent to the client.  
11 Even if the response to the client is that the server experienced an error or could not  
12 service the request, the client expects at least some response message. Similarly the  
13 server anticipates that the client expects a response and makes arrangements to provide  
14 one. A protocol for use with the present invention, Open Transaction Message Access  
15 ('OTMA'), also relies on this premise. Response messages are stored in a data structure  
16 until the server is capable of sending them. Suitable data structures include, queues,  
17 linked lists, and the like.

18 During normal operation, the premise of expected response messages functions  
19 without any problems. Clients make requests using request messages and the server  
20 responds using response messages. A completed transaction is a request and its  
21 corresponding response message. A request and its corresponding response message

1 comprise as a set of paired messages. The server response message is the pair for the  
2 client request message.

3 Unfortunately, operations between computers on a network are not completely  
4 free from communication interruptions. Interruptions may take various forms. The client  
5 may make a request and be taken off the network, or off-line, by the user of the client  
6 computer before the server could deliver the response to complete the transaction.  
7 Alternatively, the client may request a server perform a service and send the result to a  
8 second client which is not currently on-line.

9 Conventional servers ignore interruption scenarios. The server continues to store  
10 response messages in the queue and continues servicing other clients. Messages which  
11 have become undeliverable because of an interruption are called unpaired messages. The  
12 module in the server responsible for delivering the server responses continues to send out  
13 responses to clients currently connected to the server. A client is connected when it has  
14 indicated a desire to communicate with the server and the server has accepted to perform  
15 services for the client.

16 When communication has been prematurely interrupted, unpaired messages may  
17 accumulate in the server's message response queue. Once the same client re-connects to  
18 the server, the client may send the same request as prior to the interruption or send a  
19 completely different request. However, messages sent from the server to the client are  
20 sent on a first in, first out (FIFO) basis. That is the first message to be stored in the queue  
will be sent before subsequent messages stored in the queue. Therefore, the server sends,

1       in response to the client's most recent request, the unpaired response message created in  
2       response to the last request prior to the interruption.

3           Because server response messages are sent on a FIFO basis client1 may request a  
4       service and ask that the response be sent to client2. At about the same time, client2 has  
5       made a completely different request. The request from client1 is quickly processed and a  
6       response to be delivered to client2 is placed in the queue. Then, client2's response is  
7       ready. Conventional server message delivery modules do not distinguish between paired  
8       and unpaired messages. The message for client2 from client2 is an unpaired message  
9       which the conventional delivery module simply sends to client2. Because this response is  
10      not the pair for the request client1 made, an error condition in client2 may result.

11       Some clients may have logic to enable the client to recover from an interruption  
12      and identify the unpaired response message sent by the server and work through the  
13      problem accordingly. However, most clients do not have such logic. These clients are  
14      expecting a response to their most recent request and instead are receiving an unpaired  
15      message. This mis-match, or disconnect in communication may lead to the client  
16      experiencing an error state or outputting erroneous data.

17       Solutions to the response message mismatch generally involve developing clients  
18      which have logic to manage unpaired messages. This logic increases the size of the client  
19      executable meaning the clients will require more computing resources. The computing  
20      resources required lowers the probability that the advantages of thin clients, mentioned  
21      above, can be recognized. Also, placing this logic in the client may require separate logic  
22      to handle unpaired messages from each server a client may interact with.

1           Thus, it would be an advancement in the art to provide a system and method to  
2        manage unpaired messages such that unpaired messages may be utilized by the clients  
3        even after a communications mismatch. It would be a further advancement to provide a  
4        system and method to manage unpaired messages in a single central server location rather  
5        than in each remote client. It would be a further advancement to provide unpaired  
6        message management without increasing the size of the clients. It would be yet another  
7        advancement in the art to provide unpaired message management by using existing server  
8        functionality rather than consuming additional client computer resources. It would be yet  
9        another advancement in the art to provide a system and method for managing unpaired  
10      messages such that the system and method may be initiated by a client's request. It would  
11      be yet another advancement in the art to provide a system and method for managing  
12      unpaired messages to recognize the existence of unpaired messages and automatically  
13      manage these messages for later delivery to the client. It would be yet another  
14      advancement in the art to provide a system and method for managing unpaired messages  
15      such that the client may request one or more unpaired message be sent to the client. Such  
16      an invention is disclosed and claimed herein.

## **SUMMARY OF THE INVENTION**

The invention is a system and method for ensuring client access to unpaired messages from a server. The system includes a server detecting at least one unpaired message intended for a client and storing this message in a data structure. Subsequent unpaired messages intended for this client are also stored in the data structure. The system further includes use of a communications protocol between the client and server. The protocol allows the server to notify the client when the client has pending unpaired messages. The client, using the protocol, requests at least one unpaired message stored in the data structure. The data structure storing the unpaired messages may be created statically upon an initialization event within the server or dynamically upon the existence of the first unpaired message.

The system of the present invention further includes a request module, a response generator, an unpaired message module, and a response module. Requests from the client for one or more unpaired messages are passed directly from the request module to the response module. All other requests are passed to the response generator.

The response generator is a general purpose module which is capable of creating a general or specific response to a client's request. The response generated by the response generator is analyzed by the unpaired message module. The unpaired message module is configured to distinguish between paired and unpaired messages. The unpaired message module may be configured to automatically create an unpaired message queue when a first unpaired message is encountered. The unpaired message module stores

1 unpaired messages in the unpaired message queue and stores all other paired messages in  
2 a paired message queue. These queues may be within the response module.

3 The response module communicates responses from the server to the client. In  
4 normal operation, the response module sends messages in the paired message queue to  
5 the client in the order in which the messages were created. But, if the request module  
6 indicates the client wants one or more unpaired messages, then the response module  
7 sends the corresponding number of unpaired messages from the unpaired message queue  
8 to the client. If there are no messages in the unpaired message queue then the response  
9 module may destroy an existing unpaired message queue related to that client. When the  
10 first unpaired message is stored in the unpaired message queue, the client sets an unpaired  
11 messages waiting indicator in subsequent paired messages to the client to indicate there  
12 are unpaired messages for this client in the unpaired message queue.

13 By using an unpaired message module and an unpaired message queue within the  
14 response module many advantages are obtained. First, a client which goes off-line and  
15 loses communication with the server, is not confused by unpaired messages which the  
16 client may not have been expecting or configured to handle. This means a server  
17 implementing the present invention may interact with sophisticated and simple clients.  
18 Second, the unpaired message queue may be created dynamically. This saves resources  
19 in the server. And third, the client controls whether unpaired messages are sent, the  
20 number that are sent, and whether a properly configured server utilizes the system and  
21 method.

1           These and other features and advantages of the present invention will become  
2           more fully apparent from the following description and appended claims, or may be  
3           learned by the practice of the invention as set forth hereinafter.

4

5           **BRIEF DESCRIPTION OF THE DRAWINGS**

6           These and other more detailed and specific objects and features of the present  
7           invention are more fully disclosed in the following specification, with reference to the  
8           accompanying drawings, in which:

9           Figure 1 is a block diagram of a server computer system in communication with a  
10          client computer system suitable for implementing one embodiment of the invention;

11          Figure 2 is a flow diagram of one embodiment of a method for communicating  
12          between a client and a server at connection time such that unpaired messages may be  
13          delivered to the client; and

14          Figure 3 is a flow diagram illustrating one embodiment of a method for ensuring  
15          a client has access to messages from a server.

16

17           **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

18          The presently preferred embodiments of the present invention will be best  
19          understood by reference to the drawings, wherein like parts are designated by like  
20          numerals throughout. It will be readily understood that the components of the present  
21          invention, as generally described and illustrated in the figures herein, could be arranged  
22          and designed in a wide variety of different configurations. Thus, the following more

1 detailed description of the embodiments of the system, and method of the present  
2 invention, as represented in Figures 1 through 3, is not intended to limit the scope of the  
3 invention, as claimed, but is merely representative of presently preferred embodiments of  
4 the invention.

5 Figures 1 through 3 are a schematic block diagram and flow chart diagrams  
6 which illustrate in more detail certain embodiments of software modules and steps for  
7 carrying out the present invention.

8 Figure 1 is a schematic block diagram which illustrates an unpaired message  
9 access system 100 in which a client 102 and a server 104 are connected and configured in  
10 such a way that unpaired messages may be accessed by the client 102. The clients 102  
11 are designated client 1 to client n to indicate a plurality of clients 102 which may be  
12 connected to the server 104 at any given time. A connection with the server 104 may be a  
13 conventional network connection by way of a network, a LAN, a WAN or an  
14 interconnected system of networks, one particular example of which is the Internet and  
15 the World Wide Web supported on the Internet. A connection includes an authorization  
16 by the server 104 to accept requests from a particular client 102 when such requests are  
17 sent through the network.

18 The clients 102 are computer programs of various configurations operating on  
19 various kinds of computers. Some clients 102 may be database reporting programs, data  
20 management programs, financial transaction programs, and the like. These clients 102  
21 may vary in their sophistication. Some may be simple database queries. While others  
22 may be complex financial programs which include logic to manage unpaired messages

1 resulting from a lost network connection, or communication mismatch with the server  
2 104.

3 The present invention allows the server 104 to communicate with both simple  
4 and complex clients 102. Each client 102 is configured to initiate a connection with the  
5 server 104 and conduct transactions with the server 104 once a connection is established.  
6 Transactions are comprised of a service request made of the server 104 by the client 102  
7 and a response sent to the client 102 by the server 104. The response corresponding to a  
8 request is a paired message, as discussed above. An unpaired message is a response  
9 which would be unexpected by the client 102.

10 Requests of the clients 102 are serviced by the server 104. As discussed above, a  
11 server 104 exists to service requests of clients 102. Of the various services which a  
12 server may be programmed to provide, one is database management system ('DBMS').  
13 An example of a database management system within the scope of the present invention  
14 is IMS, described above. A DBMS is a good example of a server 104 which interacts  
15 with its clients 102 generally on a transactional basis. A request from the client 102 is  
16 received by the server 104 and both the server 104 and the client 102 expect a response  
17 will be sent to the client 102 to complete the transaction.

18 A server 104 within the scope of the present invention includes a request module  
19 106, a response generator 108, an unpaired message module 110, and a response module  
20 112. The request module 106 receives client requests 124, performs initial processing of  
21 the requests 124, and routes the requests 124 to the appropriate subsequent module.  
22 Client requests 124 are communicated by way of the network. The client 102 utilizes a

1 network communications protocol, such as OTMA, to send a request 124 to the request  
2 module 106 within the server 104. When the server 104 is a DBMS such as IMS, the  
3 requests 124 may ask the server 104 to report or modify particular database records.  
4 Following each request 124, the client 102 waits for a response or acknowledgment that  
5 the service was successfully performed by the server 104.

6 The request module 106 is configured to receive two different kinds of requests  
7 124. If the request is that unpaired messages 116 be sent to the client 102 then the request  
8 is an unpaired message control request 118 which is sent to the response module 112. All  
9 other requests 124 which the server is programmed to respond to are sent to the response  
10 generator 108.

11 The response generator 108 serves to execute internal functionality to service the  
12 requests 124. Requests 124 are instructions to perform a service and includes things like:  
13 “What is the checking account balance for account #12345678?”, or “Please increase the  
14 checking account balance for account #87654321 to \$300.00.” Once the service is  
15 performed, the response generator 108 creates a response appropriate to the particular  
16 request. Example responses may include: “Checking account balance for account  
17 #12345678 is \$500.00.”, or “Balance for checking account #87654321 successfully  
18 increased to \$300.00.” Once a response is generated, it is passed to the unpaired message  
19 module 110.

20 The unpaired message module 110 contains logic to determine for each given  
21 response whether the response is a paired message 114 or an unpaired message 116. The  
22 main question which determines whether the response is a paired or unpaired is: “Has

1 something happened in communications between this client 102 or another client 102 and  
2 the server 104 such that sending this response as the next response to the client 102 will  
3 result in the client 102 receiving a response which it did not expect?" Various status data  
4 concerning communications between the clients 102 and the server 104 are used to  
5 answer this question. An affirmative answer to the question indicates that the response is  
6 an unpaired message 116 and a negative answer means the response is a paired message  
7 114.

8 In normal operation, a client may make numerous requests 124 of a server 104.  
9 Each request 124 results in a corresponding response 126. Requests 124 are not always  
10 responded to in the same amount of time. Some responses 126 to early requests 124 are  
11 generated following responses 126 to requests 124 received later in time. The unpaired  
12 message module 110 also identifies these situations and orders a client's 102 responses  
13 such that they are sent to the client 102 in the order the client 102 expects them. These  
14 ordered responses 126 are stored in a paired message queue 120. The paired message  
15 queue 120 is a data structure which stores the paired messages 114 in a FIFO order,  
16 described above. The data structure with appropriate logic may take the form of queues,  
17 linked lists, and the like.

18 Upon identifying an unpaired message 116, the unpaired message module 110  
19 may create a second data structure similar to the paired message queue 120 which is  
20 called an unpaired message queue 122. The unpaired message queue 122, like the paired  
21 message queue 120, stores the messages in FIFO order. Once unpaired messages 116 and

1 paired messages 114 are stored in the message queues, they are managed by the response  
2 module 112.

3 The response module 112 communicates responses, both paired 126 and  
4 unpaired 128 to the appropriate client. For each response 126/128 there is an identifier to  
5 indicate to the client 102 which is to receive the response 126/128. During normal  
6 operation, the response module 112 takes the paired message 114 which was stored first  
7 and sends it to the appropriate client 102. If there are unpaired messages 116 stored in  
8 the unpaired message queue 122 for this particular client 102, then the response module  
9 112 will modify an unpaired messages waiting indicator (not shown) in all paired  
10 messages sent to the client 102. The unpaired messages waiting indicator allows the  
11 client 102 to identify when the server 104 has unpaired messages 116 for the client 102.  
12 If the response module 112 receives an unpaired message control request 118 from the  
13 request module 106 to send one or more unpaired messages 116 to a particular client 102,  
14 then the response module 112 will meet the unpaired message control request 118 before  
15 sending more paired messages 114 to the particular client 102.

16 In order to understand the features and advantages of the present invention, three  
17 scenarios are presented all in reference to Figure 1. First, normal operation is illustrated  
18 by the communication between client 1 (102) and the server 104. Client 1, after  
19 establishing a communications connection with the server 104, sends a request 124 to the  
20 server 104. The request is simply a general database request such as “What is the first  
21 name of the person in the name table of the database?” The request module 106 receives  
22 the request 124 and recognizes it as a normal request. The request 124 is sent to the

1 response generator 108. The response generator 108 retrieves from the database 109 the  
2 desired information and packages the data in a response 119. The response 119 is then  
3 sent to the unpaired message module 110 which detects that sending this response 119 as  
4 the next message to client 1 will be expected by client 1. Therefore, the unpaired  
5 message module 110 identifies the message as a paired message 114 and stores it in the  
6 paired message queue 120 within the response module 112. The response module 112  
7 then communicates the paired message response 114 to client 1 (102) by way of paired  
8 message response path 126. Client 1 then receives the paired message response 114 and  
9 continues its normal operation.

10 In the second scenario, mismatched request 124 and response 126/128 messages  
11 are illustrated in Figure 1. Here, client 1 (102) sends a request 124 to the server 104 just  
12 as described in the first scenario. However, the request 124 indicates that client 2 is to  
13 receive the response 119. The steps within the server 104 until the response 119 enters  
14 the unpaired message module 110 are the same as before. When the unpaired message  
15 module 110 reviews the response 119 the unpaired message module 110 recognizes the  
16 response 119 as an unpaired message 116. This is an unpaired message 116 because  
17 subsequent to client 1's (102) request, but prior to sending client 1's (102) response 119  
18 to client 2 (102), client 2 (102) has requested a service of the server 104. Therefore,  
19 sending the response 119 generated by the request from client 1 (102) to client 2 (102)  
20 prior to the response 119 for client 2 (102) generated by the request from client 2 (102)  
21 causes client 2 (102) to receive an unexpected response 119. Client 2 is expecting a

1 response 119 to client 2's (102) request 124. The response to client 2 (102) generated by  
2 the request from client 1 (102) is an unpaired message 116.

3 The unpaired message module 110 recognizes there is no unpaired message  
4 queue 122 in the response module 112. The unpaired message module 110 creates a new  
5 unpaired message queue 122. The unpaired message module 110 then stores the unpaired  
6 message 116 in the unpaired message queue 122. Later, the unpaired message module  
7 110 receives from the response generator 108 the response 119 which matches the request  
8 from client 2 (102). This response 119 is a paired message 114 and is stored in the paired  
9 message queue 120. Because an unpaired message 116 exists in the unpaired message  
10 queue 122, the response module 112 sets an unpaired messages waiting indicator in each  
11 paired message 114 sent to client 2 (102). This allows client 2 (102) to recognize there  
12 are unpaired messages 116 waiting on the server 104. When no unpaired messages 116  
13 exist, the unpaired messages waiting indicator is not set.

14 Next, client 2 (102) may decide to request one or more of the unpaired messages  
15 116. Client 2 (102) sends an unpaired message request to the server 104. The request  
16 module 106, then sends an unpaired message control request 118 to the response module  
17 112. The response module 112 responds by sending the requested number of unpaired  
18 messages 116 in the unpaired message queue 122 to client 2 (102). Communication of  
19 the unpaired messages 116 is illustrated by the dashed lines 128 between the unpaired  
20 message queue 122 and client 2 (102) and client 3 (102). Once the requested number of  
21 unpaired messages 116 are sent or the unpaired message queue 122 is empty, the response  
22 module 112 resumes sending paired messages 114 to client 2 (102). If the unpaired

1 message queue 122 is empty then the response module 112 destroys the unpaired message  
2 data structure so use of computing resources on the server 104 is maximized.

3 In the third scenario, a client 102 may issue a request 124 to the server 104 and  
4 become disconnected and then issue a different request 124 to the server 104. In this  
5 case, client 3 (102) issues a request 124 to the server 104. Processing of the request  
6 continues as normal. While the response generator 108 works on the response, client 3  
7 (102) loses its connection with the server 104. This is illustrated by the dashed line  
8 forming the box around client 3 (102). Loss of connection may occur for various reasons  
9 including severing of the network line between the client 3 (102) and the server 104, or  
10 termination of the connection by a user operating client 3 (102). After losing the  
11 connection, client 3 (102) re-connects to the server 104. Then, client 3 (102) makes a  
12 new request 124 which is different from the last. Delivery of the response 119 to the  
13 previous request 124 may confuse client 3 (102) or mislead the user.

14 The unpaired message module 110 recognizes this and identifies the response  
15 119 to the previous request 124 as an unpaired message 116. Storage and notification by  
16 the response module 112 then occurs as in scenario 2. A request 124 from client 3 (102)  
17 and fulfillment of the request 124 for these unpaired messages 116 occurs in the third  
18 scenario in the same way as in the second scenario. The difference is the unpaired  
19 message 116 was created due to a client 3 (102) request 124, rather than another client's  
20 request.

21 Figure 2 illustrates the initial connection process 200 of the present invention. In  
22 a client/server model the client 102 generally initiates the communications. In figure 2, at

1 step START both the client 102 and the server 104 are executing normally on one or  
2 more computers. The client 102 has initiated communications.

3 In step 202, the client 102 and the server 104 establish their communications  
4 connection. Communications between a client 102 and a server 104 are carried out once  
5 the two systems have agreed upon a communication protocol and have agreed to accept  
6 and send data to each other. Establishment of communications between servers 104 and  
7 clients 102 is well known in the art.

8 Next, in step 204, the client 102 may request that the server 104 use unpaired  
9 message tracking to ensure the client 102 has access to all server responses 126/128.  
10 Alternatively, unpaired message tracking may be available to all clients 102, at all times.  
11 If the client 102 requests use of unpaired message tracking, then the server 104 would  
12 configure itself to provide unpaired message tracking to the client 102. This is done by  
13 setting server side program variables such that software implementing the present  
14 invention will be utilized. If no request is made, then the server 104 would respond to  
15 client 102 requests in a conventional manner. Also, if no request is made, the process  
16 proceeds to step 210.

17 In step 210, the server 104 has completed initial preparations to communicate  
18 with the particular client 102. The client 102 is connected. The server 104 now waits for  
19 any requests 124 from this or any other connected client 102. Following step 210 is the  
20 END step. This step illustrates that the establishment of communications step with a  
21 particular client 102 is completed. The server 104 then operates as normal, servicing new  
22 requests and accepting new client connections.

1           If the client 102 requests use of unpaired message tracking, then the process  
2       continues to step 206. In this step, the server 104 uses the response module to 112 to  
3       determine whether there are unpaired messages 116 in the unpaired message queue 122.  
4       If so, then the process continues to step 208. If there are no unpaired messages 116, then  
5       the process proceeds to step 210, discussed above.

6           In step 208, the server 104 toggles an unpaired messages waiting indicator in  
7       each paired message 114 for this client. When these paired messages 114 are sent to the  
8       client 102, the client 102 is able to identify there are unpaired messages 116 waiting on  
9       the server 104. The indicator allows the client 102 to determine whether to request  
10      unpaired messages 116 from the server 104. Following the toggling of the unpaired  
11      messages waiting indicator, the process proceeds to step 210.

12           Figure 3 illustrates in flow chart form how the process of the present invention  
13      operates. One advantage of the present invention is that all changes to the normal process  
14      of client/server transactions are made to the server 104. Therefore, Figure 3 illustrates the  
15      process steps from the perspective of the server 104. Note that Figure 3 illustrates no  
16      START or END step. Although the server would need to be started and stopped in its  
17      execution on a computer, Figure 3 illustrates the process of the present invention at the  
18      point at which the server 104 has been started and is prepared to receive client requests  
19      124. This is the request handling process 300.

20           The request handling process 300 is a cycle and ends only when there is a  
21      software or hardware failure in the server 104 or the server 104 is shutdown by a user.  
22      For purposes of discussion, the process begins in step 302.

1                   Part of step 302 is the server 104 waiting for a request 124 from a client 102.  
2                   Waiting for a request requires that the communications connection process of Figure 2 be  
3                   completed. When a client request 124 is received by the server 104, the process moves to  
4                   step 304.

5                   In step 304, the server 104 determines whether the client request 124 is a request  
6                   to receive unpaired messages 116 or a general services request of the server 104. If the  
7                   request 124 is a general services request, then the process moves to step 308. If the  
8                   request is for unpaired messages then the process moves to step 306.

9                   A request for unpaired messages may be of several types. The request may be  
10                  that all unpaired messages 116 in the unpaired message queue 122 automatically be sent  
11                  to the client 102. Alternatively, the client 102 may request a single unpaired message 116  
12                  be sent. Alternatively, the client 102 may request that the first unpaired message 116 be  
13                  sent and that all subsequent unpaired messages 116 be automatically sent to the client  
14                  102. The server 104 is configured to send unpaired messages 116 to the client in  
15                  accordance with the particular type of request made.

16                  In step 306, depending on the type of unpaired message request, the response  
17                  module 112 sends one or more of the unpaired messages 116 to the client 102 from the  
18                  unpaired message queue 122. Following step 306, the server 104 has completed the  
19                  desired request. Therefore, the process returns to step 302 where the server 104 waits for  
20                  the next request from this or any other connected client 102.

21                  In step 308, the server 104 has not received a request for unpaired messages 116.  
22                  This means the request is a normal service request 124. To service this request 124, the

1 server 104 uses the response generator 108 discussed above. The flow of the response  
2 119 follows the process discussed in relation to Figure 1.

3 Step 310 illustrates the determination step which the unpaired messages module  
4 110 of the server 104 must make. Logic for determining whether the response 119 is  
5 paired or unpaired resides mainly in the unpaired message module 110. If the message is  
6 a paired message, then the process moves to step 312. If the message is an unpaired  
7 message, then the process goes to step 314.

8 In step 312, the paired message 114 is stored in the paired message queue 120.  
9 This step includes delivery of paired messages 114 to the client 102. Messages for the  
0 client 102 may accumulate in the paired message queue 120 or the unpaired message  
1 queue 122 because the server 104 must alternate time using the CPU of the computer  
2 between the plurality of tasks which the server 104 must perform. Because work by the  
3 server 104 takes time, messages may accumulate in either queue. The message queues  
4 120 and 122 accommodate this accumulation. Following step 312, the server 104 has  
5 completed the desired request. Therefore, the process returns to step 302 where the  
6 server 104 waits for the next request from this or any other connected client 102.

7 In step 310, if the response 119 is an unpaired message 116, step 314 follows. In  
8 step 314, the process determines whether a data structure for storing the unpaired  
9 messages 116 exists. If not, then a data structure is configured to store unpaired messages  
0 116 in the appropriate order. This data structure is referenced as an unpaired message  
1 queue 122. In alternative embodiments of the present invention, the unpaired message  
2 queues 122 and/or paired message queues 120 may relate to each individually connected

1 client 102. Alternatively, the message queues 120 and/or 122 may store and service  
2 messages for all connected clients 102. Steps 316 and 314 both then lead to step 318,  
3 where the unpaired message 116 is stored in the unpaired message queue 122.

4 Following step 318 the server 104 has completed the desired process. Therefore,  
5 the process returns to step 302 where the server 104 waits for the next request from this  
6 or any other connected client 102. The result is a process which ensures that all  
7 connected clients 102 may receive both paired messages 114 and unpaired messages 116  
8 intended for the client 102.

9 A primary advantage of the present invention is that none of the clients 102  
10 which now exist or will exist need to change their configurations. The present invention  
11 allows clients 102 which want to use this process implement some simple logic to request  
12 that the server 104 use the process and simple logic for handling the existence of unpaired  
13 messages 116 on the server 104. The decision to use the present invention may lie with  
14 the client 102 rather than the server 104. The present invention allows clients 102 which  
15 have logic to handle lost connections and unpaired messages 116 to continue to use the  
16 server's 104 services. Such clients 102 would not experience delays in receiving a  
17 response which use of the process of the present invention would present. The present  
18 invention allows very 'thin' or small clients 102 which operate more easily on portable  
19 computing devices to use the process of the present invention. Additionally, the present  
20 invention may utilize some of the same resources of the server 104 to manage the  
21 identification, storage, and delivery of the unpaired messages 116 which are currently  
22 used to manage paired messages 114.

1           The present invention may be embodied in other specific forms without departing  
2           from its spirit or essential characteristics. The described embodiments are to be  
3           considered in all respects only as illustrative and not restrictive. The scope of the  
4           invention is, therefore, indicated by the appended claims rather than by the foregoing  
5           description. All changes which come within the meaning and range of equivalency of the  
6           claims are to be embraced within their scope.

7           What is claimed and desired to be secured by United States Letters Patent is:

SEARCHED  
INDEXED  
MAILED  
FILED  
JULY 10 1969